

## Notice of Privacy Practices

As required by the privacy regulations created as a result of the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

**This notice describes how health information about you (as a patient of this practice) may be used and disclosed and how you can get access to your individually identifiable health information.**

**Please review this notice carefully.**

### **Our commitment to your privacy:**

Our practice is dedicated to maintaining the privacy of your protected health information (PHI) as required by applicable Federal and State law. In conducting our business, we will create records regarding you and the treatment and services we provide to you. We are required by law to provide you with this notice of our legal duties and the privacy practices that we maintain in our practice concerning your PHI. This notice takes effect March 4<sup>th</sup> 2013, and will remain in effect until we replace it.

The terms of this notice apply to all records containing your PHI that are created or retained by our practice. We reserve the right to revise or amend this Notice of Privacy Practices at any time, provided that such changes are permitted by applicable law. Any revision or amendment to this notice will be effective for all of your records that our practice has created or maintained in the past, and for any of your records that we created or maintain in the future.

You may request a copy of our most current Notice at any time by contacting:

**Dr. Jennifer Whirley- Diaz  
2000 East 116<sup>th</sup> Street Suite 102  
Carmel, IN 46032  
317-564-4464**

### **Uses and Disclosures of Protected Health Information:**

The following categories describe the different ways in which we may use and disclose your PHI. These descriptions of the types of uses and disclosures of our protected health information are not meant to be exhaustive, but to describe the types and uses that may be made by our office.

**Treatment:** Our practice may use or disclose your PHI in order to treat you or to assist others in your treatment. This includes the coordination of management of your health care with a third party. For example, we might use your PHI in order to write a prescription for you, or we might disclose your PHI to a pharmacy when we order a prescription for you. Additionally, we may disclose your PHI to others who may assist in your care, such as your spouse, children or parents. Finally, we may also disclose your PHI to other health care providers for purposes related to your treatment to ensure that the physician has the necessary information to diagnose or treat you.

**Payment:** Our practice may use and disclose your PHI in order to bill and collect payment for the services and items you may receive from us. This may include contacting your health insurer to certify that you are eligible for benefits (and for what range of benefits), and we may provide your insurer with details regarding your treatment to determine if your insurer will cover, or pay for, your treatment. We also may use and disclose your PHI to obtain payment from third parties that may be responsible for such costs, such as family members. Also, we may use your PHI to bill you directly for services and items.

**Health Care Operations:** Our practice may use and disclose your PHI, as needed, in order to conduct certain business and operational activities. These activities include, but are not limited to, quality assessment activities, employee review activities, training purposes, licensing, and conduction or arranging for other business activities.

For example, we may use a sign-in sheet at the registration desk where you will be asked to sign your name. We may also call you by name in the waiting room when your healthcare provider is ready to see you. We may use or disclose your protected health information, as necessary, to contact you by telephone or electronic mail to remind you of your appointment.

We will share your protected health information with third party “business associates” that perform various activities (e.g., billing, transcription services) for the practice. Whenever an arrangement between our office and a business associate involves the use or disclosure of your protected health information, we will have a written contract that contains terms that will protect the privacy of your protected health information.

We may use or disclose your protected health information as necessary, to provide you with information about treatment alternatives or other health-related benefits and services that may be of interest of you. We may also use and disclose your protected health information for other marketing activities. For example, your name and address may be used to send you information about our practice and the services we offer. We may also send you information about products or services that we believe may be beneficial to you or a follow-up services performed in our practice. You may contact us to request that these materials not be sent to you.

Unless you object, we may disclose to a member of your family, a relative, a close friend or any other person you identify, your protected health information that directly relates to that person’s involvement in your health care. If you are unable to agree or object to such a disclosure, we may disclose such information as necessary if we determine that it is in your best interest based on our professional judgment. We may disclose protected health information to notify or assist in notifying a family member, personal representative or any other person that is responsible for our care of our location, general condition or death.

**Disclosures required by law:** Our practice will use and disclose your PHI when we are required to do so by federal, state or local law.

**Use and Disclosure of Protected Health Information in certain special circumstances:**

The following categories describe unique scenarios in which we may use or disclose your identifiable health information:

**Public health risks:** Our practice may disclose your PHI to public health authorities that are authorized by law to collect information for the purpose of: Maintaining vital records, such as births and deaths; reporting child abuse or neglect; preventing or controlling disease, injury or disability; notifying a person regarding potential exposure to a communicable disease; notifying a person regarding a potential risk for spreading or contracting a disease or condition; reporting reactions to drugs or problems with products or devices; notifying individuals if a product or device they may be using has been recalled; notifying appropriate government agency(ies) and authority(ies) regarding the potential abuse or neglect of an adult patient (including domestic violence); however, we will only disclose this information; notifying your employer under limited circumstances related primarily to workplace injury or illness or medical surveillance.

Our practice may use and disclose your PHI when necessary to reduce or prevent a serious threat to your health and safety or the health and safety of another individual or the public. Under these circumstances, we will only make disclosures to a person or organization able to help prevent the treat.

**Health oversight activities:** Our practice may disclose your PHI to a health oversight agency for activities authorized by law. Oversight activities can include, for example, investigations, inspections, audits, surveys, licensure and disciplinary actions, civil, administrative and criminal procedures or actions; or other activities necessary for the government to monitor government programs, compliance with civil rights laws and the health care system in general.

We may disclose your protected health information to a person or company required by the Food and Drug Administration to report adverse events, product defects or problems, biologic product deviations; to track products; to enable product recalls; to make repairs or replacements; or conduct post marketing surveillance, as required.

**Lawsuits and similar proceedings:** Our practice may use and disclose your PHI in response to a court or administrative order, discovery request, subpoena or other lawful process, under certain circumstances. Under limited circumstances, such as a court order, warrant or grand jury subpoena, we may disclose your protected health information to law enforcement officials.

**Law enforcement:** We may release PHI if asked to do so by a law enforcement official regarding a crime victim in certain situations, if we are unable to obtain the person's agreement; concerning a death believed to be resulting from criminal conduct; regarding criminal conduct at our offices; in response to a witness, fugitive or missing person; or in an emergency, to report a crime ( including the location or victim(s) of the crime, or the description, identity or location of the perpetrator).

**Research:** Our practice may use and disclose your PHI for research purposes in certain limited circumstances. We will obtain your written authorization to use your PHI for research purposes **except** when it is determined that the waiver of your authorization satisfies all the following conditions:

- (A) The use or disclosure involves no more than a minimal risk to your privacy based on the following: (i) an adequate plan to protect the identifiers from improper use and disclosure; (ii) an adequate plan to destroy the identifiers at the earliest opportunity consistent with the research ( unless there is a health or research justification for retaining the identifiers or such retention is otherwise required by law); and (iii) adequate written assurances that the PHI will not be re-used or disclosed to any other person or entity (except as required by law)

for authorized oversight of the research study, or for other research for which the use or disclosure would otherwise be permitted;

(B) The research could not practicably be conducted without the waiver,

(C) The research could not practicably be conducted without access to and use of the PHI.

**Military:** Our practice may disclose your PHI if you are a member of U.S. or foreign military forces (including veterans) and if required by the appropriate authorities.

**National security:** Our practice may disclose your PHI to federal officials for intelligence and national security activities authorized by law. We also may disclose your PHI to federal officials in order to protect the president, other officials or foreign heads of state, or to conduct investigations.

**Inmates:** Our practice may disclose your PHI to correctional institutions or law enforcement officials if you are an inmate or under the custody of a law enforcement official. Disclosure for these purposes would be necessary: (a) for the institution to provide health care services to you, (b) for the safety and security of the institution, and/or (c) to protect your health and safety or health and safety of other individuals.

**Worker's compensations:** Our practice may release your PHI for workers' compensation and similar programs.

#### **Uses and Disclosures Based on Your Written Authorization:**

Other uses and disclosures of your protected health information will be made with your authorization, unless otherwise permitted or required by law as described below.

You may give us written authorization to use your protected health information or disclose it to anyone for any purpose. If you give us an authorization, you may revoke it in writing at any time. Your revocation will not affect any use or disclosures permitted by your authorization while it was in effect. Without your written authorization, we will not disclose your health care information except as described in this notice.

#### **Your Rights Regarding your Protected Health Information:**

**Confidential communications:** You have the right to request that our practice communicate with you in confidence about your health and related issues in a specified alternative manner or to a specified alternative location. You must make your request in writing specifying the requested method of contact, or the location where you wish to be contacted. Our practice will accommodate reasonable requests.

**Requesting restrictions:** You have the right to request a restriction in our use or disclosure of your Protected Health Information for treatment, payment or health care operations. Additionally, you have the right to request that we restrict our disclosure of your PHI to only certain individuals involved your in care or the payment for you care, such as family members and friends. **We are not required to**

**agree to your request;** however, if we do agree, we are bound by our agreement except when otherwise required by law, in emergencies or when the information is necessary to treat you. All restrictions request must be made in writing. Your request must describe in a clear and concise fashion containing the information you wish restricted, whether you are requesting to limit our practice's use, disclosure or both; and to whom you want the limits to apply.

**Inspection and copies:** You have the right to inspect and obtain a copy of the PHI that may be used to make decisions about you, including patient medical records and billing records. You must submit your request in writing to our office (see contact information at the end of this notice) in order to inspect and/or obtain a copy of your PHI. Our practice charges a fee for the costs of copying, mailing, labor and supplies associated with your request. Our practice may deny your request to inspect and/or copy in certain limited circumstances; however, you may request a review of our denial. Another licensed health care professional chosen by us will conduct review.

**Amendment:** You may ask us to amend your health information if you believe it is incorrect or incomplete, and you may request an amendment for as long as the information is kept by or for our practice. To request an amendment, your request must be made in writing and submitted to our office (see contact information at the end of this notice). You must provide us with a reason that supports your request for amendment. Our practice will deny your request if you fail to submit your request (and the reason supporting your request) in writing. Also, we may deny your request if you ask use to amend information that is in your opinion (a) accurate and complete; (b) not part of the PHI kept by or for the practice; (c) not part of the PHI which you would be permitted to inspect and copy; or (d) not created by our practice, unless the individual or entity that created the information is not available to amend the information.

**Accounting of disclosures:** All of our patients have the right to request an "accounting of disclosures." An "accounting of disclosures" is a list of certain non-routine disclosures our practice has made of your PHI for purposes not related to treatment. Use of your PHI as part of the routine patient care in our practice is not required to be documented- for example, the doctor sharing information with the nurse; or the billing department using your information to file you insurance claim. In order to obtain an accounting of disclosures, you must submit your request in writing to our office (see contact information at the end of this notice). All requests for an "accounting of disclosures" must state a time period, which may not be longer than six (6) years from the date of disclosure and may not include dates before March 4<sup>th</sup> 2013. The first list you request within a 12-month period is free of charge, but our practice may charge you for additional lists within the same 12-month period. Our practice will notify you of the costs involved with additional requests, and you may withdraw your request before you incur any costs.

**Right to a paper copy of this notice:** You are entitled to receive a paper copy of our notice of privacy practices. You may ask to give you a copy of this notice at any time. To obtain a paper copy of this notice, contact our office (see the contact information below)

**Right to file a complaint:** If you believe your privacy rights have been violated, you may file a complaint with our practice or with the Secretary of the Department of Health and Human Services. To file a complaint with our practice, contact our office using the information listed at the end of this notice. All complaints must be submitted in writing. **You will not be penalized for filing a complaint.**

**Right to provide an authorization for other uses and disclosures:** Our practice will obtain your written authorization for uses and disclosures that are not identified by this notice or permitted by applicable law. Any authorization you provide to us regarding the use and disclosure of your PHI may be revoked at any time in writing. After you revoke your authorization, we will no longer use or disclose your PHI for the reasons described in the authorization. *Please note:* we required to retain records of your care.

If you have any questions regarding this notice or our health information privacy policies or need to submit a written request, please contact:

**Dr. Jennifer Whirley-Diaz, MD**

**2000 East 116<sup>th</sup> Street, Suite 102**

**Carmel, IN 46032**

**(317) 564-4464**